

**COLLECTIVE BARGAINING AGREEMENT**

**BETWEEN**

**THE TRUSTEES OF COLUMBIA UNIVERSITY  
IN THE CITY OF NEW YORK**

**AND**

**1199SEIU UNITED HEALTHCARE WORKERS EAST**

*CLERICAL EMPLOYEES*

**June 1, 2022 to May 31, 2025**

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**AGREEMENT** made and entered into as of this **24th day of August 2022**, by and between the **TRUSTEES OF COLUMBIA UNIVERSITY IN THE CITY OF NEW YORK**, located at 116th Street and Broadway, New York, 10027, referred to as the "Employer" and **1199SEIU UNITED HEALTHCARE WORKERS EAST**, with its offices at 310 West 43rd Street, New York, New York, 10036 (hereinafter referred to as the "Union"), acting herein on behalf of the Employees of the said employer as hereinafter defined, now employed and hereinafter to be employed and collectively designated as the "Employees".

**WITNESSETH**

WHEREAS, the Employer recognizes the Union as the collective bargaining representative for the Employees covered by this agreement as hereinafter provided, and

WHEREAS, it is the intent and purpose of the parties hereto that this Agreement promote and improve the mutual interests of the Employer as well as those of its Employees and to avoid interruptions and interference with services and to set forth herein their agreement covering rates of pay, hours of work and conditions of employment.

NOW, THEREFORE, in consideration of the mutual covenants herein contained, the parties hereto agree as follows:

**ARTICLE 1**

**RECOGNITION - THE COLLECTIVE BARGAINING UNIT**

1. (a) The Employer recognizes the Union as the sole and exclusive collective bargaining representative of the Employees in the following bargaining units:

All office clerical employees of the Employer at the Morningside Heights Campus employed in the Libraries, CUIT, Central Mail Room, School of Social Work, the Controller's Office, and Procurement Services.

(b) Excluded from each of the aforesaid bargaining units are supervisory, confidential, executive and managerial employees, full-time students in degree granting programs at Columbia University (including Teachers College and Barnard College), part-time employees who work less than 10 hours per week, temporary employees as defined herein, and such other employees as are listed as excluded in the stipulations hereunto annexed.

A supervisory employee is any individual having authority in the interest of the Employer, to hire, transfer, suspend, lay off, recall, promote, discharge, assign, reward, or discipline other employees, or responsibly to direct them, or to adjust grievances, or effectively recommend such action, if not of a merely clerical nature, but requires the use of independent judgment.

(c) A temporary employee is one who is hired for a period of up to four (4) months and is so informed at the time of hire, and who is hired for a special project or to replace an Employee on leave or vacation. The said four (4) month period may be extended up to an additional two (2) months or for the length of maternity leave of the Employee being replaced, with the consent of the Union, which shall not be unreasonably withheld; however, such Employee shall become a member of the Union after the expiration of the initial four (4) month period. The University will notify the Union of temporary Employees who have been on the payroll of the University for four (4) months. The notification will include name, department, date of hire, the purpose of the hire and, if applicable, the name of the person being replaced. A copy will be sent to the Organizer and to the Delegate for the area concerned.

2. It is agreed that this contract shall apply and continue in full force and effect at any location to which the units may move. It is further agreed that this contract shall apply to any new or additional facilities of the units and under its principal direction and control within the five boroughs of New York City, Nassau, Suffolk and Westchester Counties.
3. Whenever the word "Employee" is used in the Agreement, it shall be deemed to mean the employees in the bargaining unit covered by this Agreement, as defined in Article 1, Section I hereof.
4. At the time a new Employee subject to this agreement is hired, the Employer shall deliver to said employee a written notice that the Employer recognizes and is in contractual relations with the Union and quoting or paraphrasing the provisions of Articles 2 and 3 of this Agreement.
5. Part-time Employees covered by this Agreement shall receive fringe benefits, wage rates and wage increases hereunder on a pro rata basis except for tuition privileges.
6. The University will have the option of participating in the Neutrality provisions of Attachment B of the settlement between the League of Voluntary Hospitals and Homes and the Union. The University will notify the Union in writing if it elects to participate.
7. With the express understanding that agency temporary employees are not University employees, the University agrees to provide the Union with quarterly reports listing the agency temporary employees supplied by the current or successor vendor responsible for providing temporary employees who have worked a minimum of 420 hours in a rolling year.

## ARTICLE 2

### UNION SECURITY

1. All Employees on the active payroll as of **June 1, 2022**, who are members of the Union shall maintain their membership in the Union in good standing as a condition of continued employment.
2. All Employees on the active payroll as of **June 1, 2022**, who are not members of the Union shall become members of the Union thirty (30) days after the effective date of this Agreement, and shall thereafter maintain their membership in the Union in good standing as a condition of continued employment.
3. All Employees hired after **June 1, 2022**, shall become members of the Union no later than the thirtieth (30th) day following the beginning of such employment and shall thereafter maintain their membership in the Union in good standing as a condition of continued employment.
4. For the purposes of this Article, an Employee shall be considered a member of the Union in good standing if he/she tenders his/her periodic dues and initiation fee uniformly required as a condition of membership.
5. Subject to Article 31, an Employee who has failed to maintain membership in good standing as required by this Article, shall, within twenty (20) calendar days following receipt of a written demand from the Union requesting his/her discharge be discharged if, during such period, the required dues and initiation fee have not been tendered.
6. The Union agrees that it will indemnify and hold the Employer harmless from any recovery of damages sustained by reason of any action taken under this Article.

## ARTICLE 3

### CHECKOFF

1. Upon receipt of a written authorization from an Employee in the form annexed hereto as Exhibit "A", the Employer shall deduct from the wages due said Employee each month, starting not earlier than the first pay period following the completion of the Employee's first thirty (30) days of employment, and remit to the Union regular monthly dues and initiation fee, as fixed by the Union. The initiation fee shall be paid in two (2) consecutive monthly installments beginning the month following the completion of the probationary period.

2. Employees who do not sign written authorizations for deductions must adhere to the same payment procedure by making payments directly to the Union.
3. Upon receipt of a written authorization from an Employee in the form annexed hereto as Exhibit "B" the Employer shall, pursuant to such authorization, deduct from the wages due said Employee each pay period, starting not earlier than the first pay period following the completion of the employee's first thirty (30) days of employment, the sum specified in said authorization and remit same within two weeks to the 1199/SEIU Federal Credit Union to the credit or account of said Employee. It is understood that such check-off and remittance shall be made by the Employer whenever feasible.
4. Upon receipt of a written authorization in the form annexed hereto as Exhibit "C", the Employer shall, pursuant to such authorization, deduct from the wages due said Employee once a month the sum specified in said authorization and remit same to the 1199/SEIU Political Action Fund as the Employee's voluntary contribution to said Fund. It is understood that such check-off and remittance shall be made by the University whenever feasible.
5. The Employer shall be relieved from making such "Checkoff" deductions upon: (a) termination of employment, or (b) transfer to a job other than one covered by the bargaining unit, or (c) layoff from work, or (d) an agreed leave of absence, or (e) revocation of the checkoff authorization in accordance with its terms or with applicable law. Notwithstanding the foregoing, upon the return of an Employee to work from any of the foregoing enumerated absences, the Employer will immediately resume the obligation of making said deductions, except that deductions for terminated Employees shall be governed by Section I hereof. This provision, however, shall not relieve any Employee of the obligation to make the required dues and initiation payment pursuant to the Union constitution in order to remain in good standing.
6. It is specifically agreed that the Employer assumes no obligation, financial or otherwise, arising out of the provisions of this Article, and the Union hereby agrees that it will indemnify and hold the Employer harmless from any claims, actions or proceedings by any Employee arising from deductions made by the Employer hereunder. Once the funds are remitted to the Union, their disposition thereafter shall be the sole and exclusive obligation and responsibility of the Union.
7. The Employer shall not be obligated to make dues deductions of any kind from any Employee who, during any dues month involved, shall have failed to receive sufficient wages to equal the due deductions.
8. Each month, the Employer shall remit to the Union all deductions for dues and initiation fees made from the wages of employees for the preceding month, together with a list of all Employees from whom dues and/or initiation fees have been deducted. The University will have the option of participating in the electronic dues transmission procedures agreed to between the League of Voluntary Hospitals and Homes and the Union. The University

will notify the Union in writing if it elects to participate.

9. The Employer agrees to furnish the Union each month with the names of newly hired Employees, their addresses, social security numbers, classifications of work, their dates of hire, and names of terminated Employees, together with their dates of termination, and names of employees on leaves of absence.

#### **ARTICLE 4**

##### **NON-DISCRIMINATION**

1. Neither the Employer nor the Union shall discriminate against or in favor of any Employee on account of race, color, creed, national origin, citizenship status, disability, political belief, sex, sexual preference, age, marital status or union activity.
2. Neither the Employer nor the Union shall discriminate against any Employee because of physical and/or mental disabilities except where such disabilities would directly prevent the accomplishment of the stated job duties.
3. After notification to the Union, the Employer shall be permitted to take all actions legally required to comply with the Americans with Disabilities Act.

#### **ARTICLE 5**

##### **SEXUAL HARASSMENT**

The Union and the University recognize the problem of sexual harassment in the workplace and are committed to ending it. The University will strictly enforce its policy regarding sexual harassment and will comply with all city, state and federal laws against sexual harassment. Sexual harassment complaints shall be filed with the University's Office of Equal Opportunity and Affirmative Action.

#### **ARTICLE 6**

##### **UNION ACTIVITY. VISITATION AND BULLETIN BOARDS**

1. No Employee shall engage in any Union activity, including the distribution of literature, which could interfere with the performance of work during his/her working time or in working areas of the Employer at any time, except as provided in Article 30.
2. A representative of the Union shall have reasonable access to the appropriate University departments for the purpose of conferring with the delegates of the Union and/or



Employee covered by this Agreement and for the purposes of administering this Agreement. Where the Union representative finds it necessary to enter a department of the University for this purpose, he/she shall first advise the personnel office or the head of the department or his/her designee in person, as the Employer shall state. A delegate intending to go to a department other than the one he/she represents shall follow the above procedure. Such visits shall not interfere with the operation of the University's departments.

3. The Employer shall provide Bulletin Board(s) which shall be used for the purpose of posting proper Union notice. Such Bulletin Board(s) shall be placed conspicuously and at places readily accessible to Employees in the course of employment.
4. Delegates of the Union shall be released for a maximum of two (2) hours each month to attend delegate meetings. There shall be a maximum of two (2) such meetings per month, upon twenty-four (24) hour notice at a mutually convenient time. The unused time shall not accrue from month to month.
5. The Union shall submit a current list of Union delegates to the Employer every six (6) months.
6. Employees shall be released with pay to attend Executive Council meetings.
7. Employees shall be entitled to attend two (2) Union meetings per year for up to one (1) hour each without loss of pay, on dates and at times agreed upon by the Union and the University. Necessary employees whose positions must be covered will not be excused for the purpose of attending these meetings. Employees working at 1700 Broadway will be released one-half hour early (extra – for travel time) to attend the meetings.
8. As part of cost savings referred to in this Agreement, the parties recognize that the Union's delegates will play a pivotal role in educating the Union membership in understanding the cost savings programs, in helping to prevent fraud and abuse in the Funds, and in understanding the Agreement and collective bargaining process. In this regard, the Delegates will be released for up to five (5) days over the life of this Agreement with pay for intensive training in these areas. The Contract Administrator Program and Delegate Training programs shall be extended for the period June 1, 2015 – May 31, 2019. The total cost of release time will be reimbursed to the Employer via a deduction from contributions otherwise due to the NBF.

## **ARTICLE 7**

### **HIRING**

1. Columbia University shall be a contributing Employer to the 1199 Health and Human Services Labor Management Initiative Inc.
2. Neither the Service in referring, nor the Employer in hiring, shall discriminate against an applicant because of membership or non-membership in the union or any ground prohibited under Article 4 of this Agreement. The Employment Service shall give preference to applicants from the Employer's immediate community when union members are not available.
3. (a) The Employer shall notify the Service of all bargaining unit job and training position vacancies.  
  
(b) Notwithstanding the foregoing, the Employer, retains the right to hire such applicants referred by the Employment Service as it deems qualified in its sole discretion. The Employer also retains the right to hire applicants from other sources.
4. The Employer shall not be required to notify the Employment Service of any job vacancy which must be filled without delay in order to meet an emergency.
5. The costs of operating the service shall be borne by the Labor Management Initiative Inc.

## **ARTICLE 8**

### **PROBATIONARY EMPLOYEES**

1. Newly hired Employees shall be considered probationary for a period of one hundred and twenty (120) calendar days from the date of employment. Benefit and Pension contributions shall commence at the end of eight (8) weeks of employment per Article 22(1) and Article 23(1).
2. Where a new Employee being trained for a job spends less than twenty-five percent (25%) of his/her time on the job, only such time on the job shall be counted as employment for purposes of computing the probationary period.
3. During or at the end of the probationary period, the Employer may discharge any such Employee at will and such discharge shall not be subject to the grievance and arbitration provisions of the Agreement.

## ARTICLE 9

### SENIORITY

#### 1. Definition

- (a) Bargaining unit seniority is defined as the length of time an Employee has been continuously employed in any capacity for the Employer.
- (b) Classification seniority shall be defined as the length of time an Employee has worked continuously in a specific job classification within a Department. A Department shall be defined as one of the following for purposes of this Article: Controller's Office, Library, CUIT, School of Social Work, and Central Mail Room as set forth in Article 1 of this Agreement.

#### 2. Accrual

- (a) An Employee's seniority shall commence after the completion of his/her probationary period and shall be retroactive to the date of his/her last hire.
- (b) Bargaining unit seniority shall accrue during a continuous authorized leave of absence without pay up to six (6) months or for the period of parental/child care leave, provided that the Employee returns to work immediately following the expiration of such leave of absence ; during an authorized leave of absence with pay; during a period of continuous layoff not to exceed the lesser of (12) months or the length of an Employee's continuous employment, if the Employee is recalled into employment; and during a sick leave of up to six (6) months.
- (c) Classification seniority shall accrue during the periods specified in (b) above and during the time an Employee works in a specific job classification.
- (d) Where jobs in the same unit of any Department in the same title and grade are closely related, if operationally feasible the University will consider the most senior Employee for the assignment of new tasks.
- (e) Temporary Employees, as defined in Article 1, paragraph 1(b), shall have no seniority during the time they occupy the status of temporary Employees, but should any temporary Employee become a permanent Employee, then his/her seniority shall be retroactive to the date of employment, except as otherwise provided in Section 4(c) hereof.
- (f) An Employee who terminates voluntarily after five (5) years of continuous employment may return within one (1) year with no loss in seniority. Seniority credit shall not be given for time not employed.

3. **Loss of Seniority**

An Employee's seniority shall be lost when he/she:

- (a) Terminated voluntarily, except as provided for in Article 2(f) above.
- (b) Is discharged for cause.
- (c) Willfully exceeds an official leave of absence.
- (d) Is laid off for a period of twelve (12) consecutive months or a period exceeding the length of the Employee's continuous service, whichever is less.

4. **Application**

- (a) Bargaining unit seniority shall apply in the computation and determination of eligibility for all benefits where length of service is a factor pursuant to this Agreement.
- (b) Classification seniority shall apply in promotions, layoffs and recalls and for scheduling of vacations as herein provided.
- (c) Employees specifically covered by this Agreement, as set forth in the stipulations referred to in Article I, Section 1(a) whose pay is charged to a special or non-budgetary fund and who are informed at the time of their hire or at the time of transfer that their employment is for a special non-budgetary or research project and subject to this provision, shall, for the purposes of layoff, be considered to have classification seniority which may be exercised only within the project or grant to which assigned. Such Employees shall be considered to have bargaining unit seniority for purposes of transfer or recall to a vacant position outside of the special project, provided in each case that the Employer determines that the Employees retained or recalled have the ability to do the work.
- (d) Employees who have occupied a special or non-budgetary funded position for five (5) or more consecutive years will have the same layoff and recall rights as a regular Employee.

5. **Layoff**

- (a) In the event of a layoff within a job classification in one department, probationary Employees within that job classification in one department shall be laid off first without regard to their individual periods of employment. Non-probationary Employees shall be the next to be laid off on the basis of their bargaining unit seniority within the affected job classification within one department.

- (b) In the event an Employee is scheduled to be laid off in one department and there exists a vacant position in another department which the Employee has the skill and the ability to perform, bargaining unit seniority within the affected job classification shall prevail in assigning such Employees to be laid off to such vacant jobs. This provision is not intended to circumvent the article on promotions.
- (c) In the event a position is eliminated and the affected employee is not the least senior employee in his/her classification, such employee will be assigned to the position held by the least senior employee in the same classification and department, provided he/she has the skill and ability, work record and experience to perform the duties of the job. The least senior employee shall be laid off. In the event the employee originally scheduled to be laid off does not wish to exercise his/her rights to bump or take a vacancy which may be available, such employee shall be deemed to be laid off.
- (d) In the event that an employee is reassigned to either a vacant position or the position held by the least senior employee within the job classification and department, he/she shall serve an eight (8) week probationary period, during which he/she may be removed from the position and shall be laid off if his/her performance is not satisfactory in the opinion of Management, but such action will be subject to Article 31 of this Agreement (Grievance Procedure).
- (e) A non-probationary Employee shall not be laid off if, at the time of the prospective layoff, temporary work is available in the classification of the Employees scheduled to be laid off that the Employee is qualified to perform and the Employee accepts the assignment and schedule required of the temporary position. At the time of the layoff, it should be the Employee's option to accept such assignment or to exercise rights under the layoff and recall provision of this Article.
- (f) If the Employee accepts such assignment, the Employee is not laid off and remains an Employee with recall rights commencing from the effective date of layoff stated in the Notice Letter. At the end of the temporary assignment, if another temporary assignment, for which the employee is qualified, is not available the Employee will be laid off and receive severance pay equal to the difference between the weeks in the temporary assignment and the severance pay as outlined under Article 20 of the collective bargaining agreement, if any. In the event that a non-temporary position exists at the end of the temporary assignment the above recall procedures shall apply. This provision will not apply to probationary employees.
- (g) If a regular part-time Employee has greater equivalent seniority than a full-time Employee in the same classification who is to be laid off, the part-time Employee must be willing to accept full-time employment to continue working.

- (h) The Employer agrees to give the Employee to be laid off four (4) weeks notice of a layoff.

6. **Recall**

- (a) Whenever a vacancy occurs in a job classification, Employees who are on layoff in that classification shall be recalled in accordance with their classification seniority in the reverse order in which they were laid off. If a vacancy occurs in a job classification where no Employee in that classification has recall rights, then the laid-off Employee with the most bargaining unit seniority will be recalled if he/she has the ability to do the work and if not, the next senior Employee will be recalled, and so on.
- (b) Probationary Employees who have been laid off have no recall privileges.
- (c) An Employee who is recalled and assigned to a new position shall serve a probationary period of eight (8) weeks in such new position. If returned to layoff status during the probationary period, the period of active employment shall not be deemed to be time on layoff for recall purposes and the Employee shall retain the same seniority which he/she would have accrued if he/she had not been assigned to the new position. Such action will be subject to Article 31 and 32 of this Agreement.

7. **Promotions**

- (a) Whenever a promotional opportunity occurs in a department, the job opening shall be posted simultaneously for five (5) working days within and outside the department. Preference for such opening shall be given to the Employee within the department with the highest classification seniority, provided such Employee has the skill and ability to perform the required duties. If the Employer determines that the Employee with the highest classification seniority does not have the skill and ability to perform the required duties, preference shall then be given to the next Employee within the department with the highest classification seniority, again provided such Employee has the skill and ability to perform the required duties, and so on. If the opening is not filled from within the department, the above procedure shall be followed for filling the opening outside the department. When a job is posted and not filled, it shall be posted every sixty (60) days until filled. Any disagreement shall be subject to the grievance and arbitration procedure herein.
- (b) Whenever the University has a vacancy in a part-time position, the part-time hours will be offered first to part-time Employees on the basis of seniority. This will not supersede the seniority clause.

- (c) Job requirements shall reflect actual job duties of the job in question and be clearly stated. Equivalent experience shall be given due consideration.
- (d) All promotional tests to determine an Employee's skill and ability shall be job related and objective. Employees will not be required to take a typing test for promotions.
- (e) If an Employee claims that due to material changes in job content he/she should be upgraded, the Employee should submit a request, in writing, for such review to his/her immediate supervisor. The supervisor will meet with the Employee and review his/her job description. The Employee will be notified of the result of the review within forty five (45) days of the submission of the request.
- (f) At the time of hire, transfer or promotion, upon request, an Employee shall receive a copy of his/her current job description. Upon request, an Employee shall receive a copy of his/her current job description. This should be done within five days of the request.
- (g) An Employee who is promoted shall serve an eight (8) week probationary period on the new job. If he/she is removed from the new job during the probationary period he/she shall be returned to his/her former job or to an equivalent one without loss of seniority or other benefits, excepting that if he/she is discharged his/her rights shall be subject to Article 29 of this Agreement.
- (h) The University will continue to implement its plan to expand access to ColumbiaNet and to provide information and/or training which will assure that the Columbia community will have greater capability to use it. The University will provide information to employees on how to open and use non-supported E-mail accounts.

8. **Lateral Transfers**

- (a) There shall be no policy prohibiting lateral transfers. When a lateral transfer is applied for, that application shall be considered after application of those seeking promotions and shall be based on classification seniority.
- (b) An Employee who is laterally transferred shall serve an eight (8) week probationary period on the new job. If the Employee is removed from the new job during the probationary period he/she shall be returned to his/her former job or to an equivalent one without loss of seniority or other benefits.
- (c) Whenever job classifications are changed by management (as opposed to such changes as promotions and lateral transfers made at the Employee's request), employees affected by such changes shall retain the seniority of the previous job classification.

9. **Seniority Roster**

The University shall provide the Union with a current seniority roster. This shall be done on a yearly basis.

10. An employee who is notified that she/he will be subject to a layoff will, upon request, receive the following assistance from Human Resources:

- a. Assistance in creating or updating a resume
- b. Instruction on and access to the University's job postings and job postings website
- c. Information about how to apply for positions
- d. Individual career counseling
- e. Benefits Information

11. **Casual and Temporary Employees – Voluntary Program**

Employees who have been laid off from their regular positions may be employed in temporary positions under the following rules:

1. Employees who have completed at least three (3) years of service as of the date of their layoff will be eligible to participate. Eligible employees must make a written election to participate or not to participate within two (2) weeks of receiving the notice of layoff.
2. An employee in the program will be covered by the collective bargaining agreement except as provided herein.
3. An employee who elects to participate:
  - a. Will not receive the severance allowance provided in Article 20 of the collective bargaining agreement. In its place, the employee will be retained at his/her salary as of the date of layoff, and will receive the fringe benefits provided in the collective bargaining agreement, for a period of time equal to the number of weeks of severance pay the employee would have received under Article 20.
  - b. Must accept all offered temporary, casual or training assignments and must work the same number of hours per week as the employee worked prior to the layoff, for the duration of time provided in paragraph 3(a). The University will attempt to accommodate work hour preferences, but cannot guarantee that the schedule and location of such assignments will be the same as those which the employee worked prior to the layoff. The University may assign any work which is of a general clerical nature for which the employee is minimally qualified.



- c. Will receive holiday pay for any holidays which occur, but will not be eligible to use vacation, personal leave or sick leave while in the program, except as follows:
    - i. Because of the temporary nature of the assignments, the use of sick leave for absences due to illness shall be limited to one (1) day per month. In the event of longer absences due to illness, the employee will be required to use accrued vacation in lieu of sick leave. Employees in the program may be required to provide satisfactory evidence of illness; or
    - ii. After completion of a three (3) month period in the program, an employee may use one (1) week of previously accrued vacation for vacation purposes, provided the vacation is scheduled in advance.
  - d. Will be paid for overtime worked, in accordance with the collective bargaining agreement.
  - e. Must apply for any posted bargaining unit position for which the employee is qualified in the same or any lower grade. An employee who is offered a regular position at the same or greater salary, and who refuses such offer, will be terminated from the program and will receive a layoff allowance equal to the difference between the employee's original severance entitlement under Article 17 and the number of weeks they were in the program.
  - f. An employee will remain in a temporary assignment which extends longer than the length of time that the employee would otherwise be in the program unless the employee accepts a regular position.
- 4. An employee who fails to work on any day covered by paragraph 3 when work is offered may be terminated from the program and will receive a layoff allowance equal to the difference between the employee's original severance entitlement under Article 20 and the number of weeks they were in the program.
  - 5. If the department determines that the employee is not qualified or able to perform the duties of the temporary assignment, the department may remove the employee from the temporary assignment and return the employee to the pool. Such action shall not be grievable or arbitrable.
  - 6. The employee will retain seniority for the balance of time as defined in Article 9.

## ARTICLE 10

### WAGES AND MINIMUMS

1. General Wage Increases and Corresponding Increases to Minimum Rates and Steps

- (a) Effective **June 6, 2022**, Employees on the payroll of the University on such date and in a position covered by this Agreement shall receive a wage increase of three percent (3%).

**Effective June 6, 2022, Employees shall receive a \$400 base wage adjustment to be added to his or her annual base wage rate. The weekly wage rate will be adjusted prior to applying the 3% wage increase. The minimum rates and salary schedule shall also be increased by the \$400 base wage adjustment prior to applying the 3% wage increase.**

- (b) Effective **June 5, 2023**, Employees on the payroll of the University on such date and in a position covered by this Agreement shall receive a wage increase of three percent (3%).
- (c) Effective **June 3, 2024**, Employees on the payroll of the University on such date and in a position covered by this Agreement shall receive a wage increase of three percent (3%).
- (d) Effective upon ratification, each full-time Employee on the payroll on that date and who was employed ninety (90) days prior to that date, shall receive a lump sum payment of \$500. The payment shall be prorated for part-time Employees based on the average hours actually worked during the foregoing ninety (90) day period (or the ninety (90) day period referred to in paragraph 1 (e) below, where applicable). The lump sum payment shall not be considered as pay for payment of contributions to, or benefits provided by, the Union Funds, or for purposes of overtime, shift or other differentials or any form of premium pay.
- (e) The term “employed” as used in this Section 1 (e) shall include all periods of paid leave and for this purpose only: (i) a period for which the Employee is entitled to receipt of disability or workers compensation payments from the NBF or other insurance paid for by the Employer, and (ii) a period of unpaid leave of absence or layoff, provided, however, that individuals who were on an unpaid leave of absence or layoff (with recall rights) on the first pay period following **June 6, 2022** must return to work to a regular full-time or regular part-time position at the end of the leave, or, in the case of layoff, before their recall rights have expired, and work for a period of ninety (90) days following such return.

2. Minimum Rates during the First Year of Employment for Newly Hired Employees.

- (a) No Employee shall be hired below the minimum rate for his/her labor grade or classification, provided however, that effective March 1, 2011, all newly hired clerical

employees shall receive a base weekly rate for their grade during their first year of employment as follows.

Employees hired on and after October 1, 2013	Two and one-half percent (2.5%) less than the minimum weekly rate
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3. An Employee, when required to work at a higher rated bargaining unit job, shall be paid his/her rate or the rate for the other job, whichever is higher, after a total of five (5) days work in such higher classification in each contract year.
4. Wherever in this Agreement the phrase "regular pay" appears, it shall be deemed to include shift differential but shall exclude overtime.
5. If it is claimed by the Union that the Employer has instituted a new job classification or substantially modified an existing job classification, the Union may process a claim for a change in the job rate for such classification in accordance with the provisions of Articles 30 and 31 of this agreement, provided however, that it is expressly understood and agreed that neither the Union nor any employee may grieve or arbitrate with respect to content or description of any such job or job classification.  
The University will discuss any new or modified job descriptions with the Union prior to posting the job.
6. Seniority - Effective March 1, 2006, prospectively, eligibility and increases in seniority increments will be paid on the employee's anniversary date. The following seniority increment will be added to the base pay of eligible employees (i.e. only those who have completed the required number of years as of that date):

Employees who have completed at least 5 years of service - \$5 per week  
Employees who have completed at least 10 years of service - \$10 per week  
Employees who have completed at least 15 years of service - \$15 per week  
Employees who have completed at least 20 years of service - \$20 per week  
Employees who have completed at least 25 years of service - \$25 per week  
Employees who have completed at least 30 years of service - \$30 per week

In calculating the seniority increment, any employee who received an increment on April 1, 2004, will have the amount of that increment deducted from the amount above, and will receive the balance. These payments will be calculated by adding the cents per hour to the employee's then-current rate of pay.

## **ARTICLE 11**

### **HOURS**

1. The regular work week for all full-time employees shall consist of thirty five (35) hours per week divided into (5) working days of seven (7) consecutive working hours per day, with a break for mealtime, not to exceed one (1) hour.
2. Regular part-timers who average full-time hours over a three month period shall be changed to full-time status.
3. Full-time Employees shall be entitled to two (2) rest periods of fifteen (15) minutes each in each working day, as assigned by the Employer to each Employee. Employees who work a full half shift shall be entitled to one (1) such fifteen (15) minutes rest period.
4. Employees shall be afforded a reasonable time during which to cash pay checks.
5. Permission for Employees to makeup lateness, particularly in the case of sick children, shall not be unreasonably denied providing there is no pattern of abuse. Lateness must be made-up within the same pay week and only with prior supervisory approval.
6. When a family emergency arises, the Employee will notify the supervisor immediately that he/she must leave. The supervisor will make every reasonable effort to allow the Employee to leave immediately, and in no case shall require the Employee to stay for more than one (1) hour. An Employee shall be entitled to use up to two days of vacation or other personal time for such emergencies. The University shall have the option to require the Employee to provide reasonable documentation of the emergency.
7. The KRONOS timekeeping system will be introduced in the Central Mail Room to be consistent with other departments with 1199 SEIU members within Campus Services.

## **ARTICLE 12**

### **OVERTIME**

1. Employees shall be paid one and one half (1 1/2) times their regular pay for authorized time worked in excess of the regular full-time work week for their classification as set forth in Article 11, Section 1.
2. Paid absences shall be considered as time worked for purposes of computing overtime. Unpaid absences shall not be considered as time worked.
3. There shall be no pyramiding of overtime.

**ARTICLE 13**

**SHIFTS AND SHIFT DIFFERENTIALS**

1. Employees working on a shift operation whose straight time hours begin at three (3:00) p.m. or later, or at twelve (12:00) a.m. or later shall receive a differential of ten percent (10%).
2. Whenever the Employee requests a change of shift, approval of such request shall not be unreasonably withheld if a vacancy exists in the classification in which he/she is then working and if more than one Employee applies, such change shall apply to the Employee with the most classification seniority qualified to do the work. Notwithstanding the foregoing, Employees shall have preference in filling vacancies on another shift in the classification in which he/she is then working over new Employees.
3. The foregoing shall not interfere with any training program requiring rotation of shifts.
4. There shall be no split shifts.

**ARTICLE 14**

**HOLIDAYS**

1. Employees shall be entitled to paid holidays within each calendar year as follows:

New Year's Day  
Dr. Martin Luther King's Birthday\*  
Memorial Day  
Independence Day  
Labor Day  
Election Day  
Thanksgiving Day  
Day after Thanksgiving  
Christmas Day  
Two (2) Days selected by the University during the Christmas Season

\*Shall be celebrated on the Federal holiday.

Should any of the aforementioned holidays fall on Saturday, the holiday will be celebrated on the previous Friday; should any of the aforementioned holidays fall on Sunday, the holiday will be celebrated on the Monday.

If a holiday falls within an Employee's first thirty (30) days of employment, then such

Employee shall receive pay for the holiday only upon completion of twelve (12) months of employment.

2. In addition to the above holidays, there shall be three (3) floating holidays. A new employee, following completion of his/her probation period, can take one (1) floating holiday during the first four months of employment, one such holiday during the second four months of employment and one such holiday during the third four months of employment.
3.
  - (a) In the event an Employee is required to work on any of the holidays specified in Article 14, Section 1, he/she shall be paid at the rate of time and one half (1 1/2) his/her regular pay for all hours worked on the holiday, and shall, in addition, receive an additional day off with regular pay within thirty (30) days of the holiday or an extra day's pay in lieu thereof, as determined by the Employer.
  - (b) If a holiday falls on an Employee's regular scheduled day off, the Employee shall receive an additional day's regular pay or a day off with regular pay within thirty (30) days of the holiday.
  - (c) If a holiday falls during an Employee's vacation, at the option of the Employer, the vacation shall be extended by one (1) day, or the Employee shall receive an extra day's regular pay or a day off with regular pay. In making the determination, the Employer will take into consideration the Employee's expressed preference.
  - (d) If the Employer declares an unscheduled holiday, day off, during an employee's vacation, the Employee shall be credited with an additional day off with pay.
  - (e) If an Employee is absent the scheduled work day before and/or the scheduled work day after a paid holiday or day in lieu thereof, the employer may demand proof of illness. The Employer may deny pay for one (1) sick day if such proof is requested and not furnished.

## **ARTICLE 15**

### **VACATIONS**

1. Employees shall be entitled to vacation to be credited each June 30th during the term of this Agreement as follows:

Less than one year	1 2/3 days per month up to 20 working days
After one year	20 working days

After fifteen years	22 working days
After twenty years	25 working days

New Employees hired on or before the tenth (10th) of any month shall be given credit for the month after the completion of two (2) months of employment.

2. Employees terminating during their first two (2) months of employment shall not be entitled to vacation credit.

Upon the completion of two (2) months of employment, only Employees terminating on the last working day of any month shall be given credit for the month.

3. A vacation period may be split only with the consent of the Employer.
4. Vacations should be scheduled throughout the calendar year according to seniority and consistent with adequate functioning of the operation.
5. Should official holidays fall during a vacation period, the vacation shall be extended by the same number of days.
6. Vacations cannot be extended or cancelled during a scheduled vacation period without the consent of the Employer.
7. Pay in lieu of unused vacation for terminating Employees shall be in accordance with Article 28.
8. The following limitations shall apply to vacations:
  - (a) Vacation shall not be cumulative.
  - (b) Vacation time shall not accrue during a leave of absence.
  - (c) After the commencement of a vacation, no part thereof shall be changed to sick leave.

## **ARTICLE 16**

### **SICK LEAVE**

1. Employees hired prior to January 1, 1977, after two (2) months of employment, shall be entitled to paid sick leave earned at the rate of one (1) day for each month of employment, up to six (6) months. At the conclusion of six (6) months of employment, the Employee shall be credited with six (6) additional days of sick leave. The employee will be credited with his/her full sick leave entitlement at the beginning of each subsequent year.

LENGTH OF SERVICE

NUMBER OF DAYS

2 months but less than 12 months	10 days
1 year but less than 2 years	11 days
2 years but less than 3 years	12 days
3 years but less than 5 years	15 days
5 years but less than 7 years	20 days
7 years but less than 9 years	25 days
9 years and over	30 days

Employees shall be entitled to accumulate up to sixty (60) working days of sick leave during any one year, including the days earned or to be earned in the current year, regardless of date of hire.

2. For Employees hired between January 1, 1977 and December 31, 1978, the sick leave schedule will be as follows:

LENGTH OF SERVICE

NUMBER OF DAYS

After 6 months but less than 1 year	5 days
After 1 year but less than 2 years	12 days
After 2 years but less than 9 years	15 days
After 9 years	20 days

Employees shall be entitled to accumulate up to sixty (60) working days of sick leave during any one year, including the days earned or to be earned in the current year, regardless of date of hire.

3. For all Employees hired after January 1, 1979:
  - (a) Employees, after thirty (30) days of employment, shall be entitled to paid sick leave earned at the rate of one (1) day for each month of employment, retroactive to date of hire, up to a maximum of twelve (12) days per year.
  - (b) Employees, after one (1) or more years of employment with the University shall



be entitled to a total of twelve (12) additional days of sick leave as of the beginning of his/her second and each subsequent year of employment, provided that at no time will an Employee be entitled to accumulate more than sixty (60) working days of sick leave during any one year, including the days earned or to be earned in the current sick leave year.

4. (a) Except as provided in Section 8 below, to be eligible for benefits under this Article, an Employee who is absent due to illness or injury, must notify his/her supervisor within one (1) hour after the start of his/her regularly scheduled work day, unless proper excuse is presented for the Employee's inability to call. The Employer may require proof of illness hereunder. Employees shall be entitled to sick leave pay only when they are necessarily confined to their homes or to a hospital because of illness.
  - (b) Shift employees in CUIT must notify the Department at least one (1) hour prior to the start of the shift to be eligible for benefits under this Article.
  - (c) To be eligible for benefits under this Article, an Employee in the Central Mail Room who is absent due to illness or injury must call in within 15 minutes prior to the start of their work day, unless other arrangements have been made with the supervisor or unless proper excuse is presented for the Employee's inability to call. Employees who are required to call in may leave voice mail messages on a telephone number designated by their supervisor unless instructed otherwise.
5. If an Employee resigns or is dismissed or laid off and has exceeded his/her allowable sick leave, the excess sick leave paid shall be deducted from any monies due him/her from the employer at the time of the resignation, layoff, or dismissal.
  6. An Employee eligible for disability benefits shall be paid for sick leave one third (1/3) of the Employee's regular straight time rate of pay. Such sick leave shall be deducted from annual or accrued sick leave at the rate of one-third (1/3) of a day per day of absence.
  7. An employee shall be entitled to use up to five (5) days of his/her sick leave each year for family illness (spouse, domestic partner, child, parent, parent or child of spouse or domestic partner, sibling, grandparent, grandchild, or family member residing in the employee's household).

The University shall comply with the NYC Earned Safe and Sick Time Act and the NYC Temporary Schedule Change Law.

#### 8. **Medical Appointments**

Employees who have sick leave in their bank may use sick leave for their own medical appointments at the start or end of the workday. Employees must pre-schedule these appointments with their supervisor, and work the balance of the day.

9. **Sick Leave Incentive Plan**

Effective April 1, 2002, the following provisions will apply for all employees regardless of date of hire whose next anniversary date occurs subsequent to March 31, 2002, as their sick leave bank accrues additional days:

Effective January 1, 2016, an employee who has thirty (30) days in the bank at the beginning of his/her leave year and who uses a limited number of days (combination of sick leave, workers' compensation, and disability) during the leave year, will receive a lump sum payment equivalent to a fixed number of days regular pay at the end of the leave year based upon the following formula:

<u>Total Time Lost</u>	<u>Lump Sum Payment</u>
3 days	3 days
2 days	4 days
1 days	5 days
0 days	6 days

See attached side letter explaining implementation.

**ARTICLE 17**

**PAID LEAVE**

Upon request, the University will provide employees with quarterly reports about their leave balances, at such time as the PeopleSoft time and attendance program is on-line. The University will assure that all employees have a means of obtaining access to leave data once the computer system is operational.

Employees, after their first thirty (30) days of employment, shall be entitled to paid leave as follows:

1. (a) An Employee shall be paid at his/her regular pay for three (3) working days absence in the event of death of his/her parent, spouse, child, brother, sister or grandparent, mother-in-law, father-in-law or close family member or spousal equivalent living in the employee's household. In special cases, paid leave may be granted in the event of the death of a close relative not in the immediate family.
- (b) When a death in the family occurs outside of the Employee's household, a leave of absence without pay not to exceed five (5) work days may be granted. A request for such leave must be made by the employee and must be approved by the department.
2. All employees who are called to serve as jurors will receive their regular pay less their

pay as jurors for each work day while on jury duty. The receipt of a subpoena or the notice to report for jury duty must be reported immediately to the employee's department.

3. An employee shall be paid at his/her regular pay for three (3) working days absence in the event of his/her marriage.
4. An employee shall be paid at his/her regular pay for one (1) working day when his/her spouse has a baby or adopts a child.

## **ARTICLE 18**

### **UNPAID LEAVE**

Employees shall be eligible for unpaid leave in accordance with the following:

#### 1. **Pregnancy/Child Care Leave**

- (a) Pregnancy shall be treated as any other non-occupational disability circumstance set forth in Article 16 and in accordance with the Pregnancy Discrimination Act of 1979.
- (b) Personal leave for child care purposes may be taken by either parent for a period not to exceed ten (10) months. The child care leave shall not be counted towards disability but shall be in addition thereto.

The father or mother of a legally adopted child shall receive the same unpaid leave now provided to biological parents.

#### 2. **Military Leave**

Leave of absence for the performance of duty with the U.S. Armed Forces or with a Reserve component thereof shall be granted in accordance with applicable law.

#### 3. **Union Business**

A leave of absence for a period not to exceed three (3) years shall be granted to Employees with one (1) or more years of bargaining unit seniority in order to accept a full-time position with the Union, provided such leaves will not interfere with the operations of the University. It is understood, however, that such requests are annually subject to University approval. Notwithstanding Article 1, Section 1.(c), the University may hire a temporary employee or employees to back fill the position for the duration of the leave.

4. **Illness or Injury**

Leaves of absence for illness or injury will be granted for up to eighteen (18) months, or length of service, whichever is less. Employees must provide medical certification.

5. **Family Illness**

Employees with one or more years of service shall be entitled to a twelve (12) week unpaid leave in a calendar year for serious illness of a family member. Eligible relationships are the same as those listed in Article 17 Section 1 (a). The University shall have the option to require the Employee to provide reasonable documentation of the illness.

6. **Other Leaves**

Leaves of absence without pay for other reasons will not be unreasonably denied by the University.

7. While on an unpaid leave of absence, an Employee shall not be entitled to earn holiday pay nor to accrue sick leave time or seniority, except as provided in Article 9. When an Employee returns to work following an authorized leave of absence, he/she shall be reinstated to his/her former classification with seniority.

**ARTICLE 19**

**PAST PRACTICES**

No classification of Employee employed prior to January 1, 1971, shall sustain any loss in condition of employment as practiced heretofore.

This shall apply only to University policies involving wages, hours and working conditions.

**ARTICLE 20**

**SEVERANCE PAY**

Employees with one (1) or more years of bargaining unit seniority who are laid off shall receive severance pay at the rate of one (1) week's pay for each year of bargaining unit seniority, prorated up to a maximum of twenty-six (26) weeks' pay, at his/her regular pay rate in effect at the time of such layoff. This provision does not apply to a quit, a discharge or short-term layoff.

## ARTICLE 21

### 1199/UNIVERSITY TRAINING FUND

The University will become a participating employer in the Hospital League-1199 Training and Upgrading Fund effective April 1, 2002.

1. The parties shall continue planning for and training adequate personnel for Employers covered by this Agreement through the Hospital League/1199 Training and Upgrading Fund.

The contribution to the Fund shall be an amount equal to one half percent (½%) of the gross payroll of the Employees for the preceding month exclusive of amounts earned by the Employees during the first two (2) months following the beginning of their employment.

Contributions so received by the Trustees shall be used to study Employer manpower needs, including shortages in entry level jobs, upgraded positions and credential jobs; to develop career ladders, and to subsidize Employees in training and, when necessary, the costs of training in areas of manpower shortages.

The Trustees will be requested to seek grants from outside sources including the State and Federal governments for training to reduce the negative impact arising from layoffs or potential layoffs.

The Trustees of the Training and Upgrading Fund, in addition to the moneys received from Employers, shall attempt to secure such additional funds as may be available from public or other private sources. In addition, the Trustees shall seek community cooperation in such programs.

2. The Trustees of the Training and Upgrading Fund shall be composed of an equal number of representatives designated by the Union and by the League.
3. The Trustees of the Hospital League/1199 Training and Upgrading Fund shall develop programs to provide Employees who are laid off or who are potentially affected by layoff with retraining for lateral and/or upgrading opportunities. The purpose of such programs shall be to minimize the effect of actual or potential layoffs and may include stipends to supplement unemployment compensation, severance pay, etc. as deemed necessary and appropriate by the Trustees. All matters concerning the particulars of such programs including, among others, questions of eligibility, limitations, duration and amount, shall be determined by the Trustees.

Columbia University is a contributor to the Training and Upgrading Fund ("TUF"). In order to enhance the skills of the current workforce and to assist in preparing them for new/other career opportunities, the parties agree to establish a

joint labor-management team to identify needs and recommend methods to address those needs.

- The Parties will establish a labor-management team with one union (plus one alternate) representative and management representatives (not to exceed the total number of union representatives) from each of the following areas: Central Mail, Social Work, Dining, Finance, Information Technology, and Libraries as well as staff representatives from 1199SEIU and CU Human Resources.
- A representative of the Training and Upgrading Program will be assigned to work with the joint team, to:
- Assess the training needs of incumbent staff for enhancements in their current role
- Identify career path opportunities for bargaining unit staff and the skills that the new roles require
- Identify TUF, Columbia and other resources available to support the training programs required

If possible and necessary, identify other potential funding opportunities.

4. The League and the Union will request that the Trustees consider ways to expand the number and location of conferences, workshops and seminars which may be attended particularly by professional Employees in order for them to keep abreast of developments in their fields.
5. The Employer will make a good faith effort to adjust schedules so that Employees can take training courses.
6. The Employer will make a good faith effort to make space available for training.

## **ARTICLE 22**

### **BENEFIT FUND**

1. The Employer shall continue to contribute to the 1199 National Benefit Fund for Health and Human Service Employees (“NBF” or “Fund”) in an amount equal to the percentage as specified in Article XXIII, paragraph 5(c), multiplied by the gross payroll of the Employees for the preceding month exclusive of amounts earned by the Employees during the first two (2) months following the beginning of their employment, reduced by contribution credits, if any, approved by the NBF Trustees.

Such payments shall be used by the Trustees of the Benefit Fund for the purpose of providing the Employees with social benefits, e.g., medical benefits, disability benefits, death benefits and hospital benefits as the Trustees of the said Fund may from time to time determine.

For the life of the Agreement, the Employer shall continue to contribute to the National Benefit Fund at the Required Contribution Rates (RCR) set by the Funds' Trustees.

Effective as of the following dates the NBF required contribution rate (NBF URR) shall be increased to the following corresponding percentages of gross payroll:

<b>Effective Dates</b>	<b>Contribution Percentage</b>
<b>October 1, 2021</b>	<b>37.04%</b>
<b>October 1, 2022</b>	<b>38.74%</b>
<b>October 1, 2023</b>	<b>40.42%</b>

2. It is agreed that the National Benefit Fund will provide disability benefits for the Employees covered by this Agreement, in accordance with the requirements of the New York State Disability Benefits Law. In view of the assumption of this obligation by the said Fund, the Employer agrees not to make any deductions from the covered Employees' wages on account of disability benefits. The National Benefit Fund will certify the assumption of this obligation in connection with disability benefits to the appropriate State agency and to the Employer.
3. The Trustees shall continue to provide Benefit Fund enrollment cards to the Employers in accordance with its prior practice.
4. The Union and the League hereby direct the Trustees to implement the cost containment measures set forth in Exhibit E.
5. The Union and the League of Voluntary Hospitals and Nursing Homes shall appoint a committee that will develop a program to provide the best possible health care and health benefits.
  - (a) In designing this program, the Union and the League agree to be guided by the following objectives. That the National Benefit Fund will:
    - (i) Promote health and prevent disease;
    - (ii) Provide comprehensive health benefits in a cost-effective manner, and when fully operational, at no costs to covered Employees and their eligible dependents;
    - (iii) Provide improved access to high quality health care providers participating in the Plan;
    - (iv) Seek to eliminate and/or eliminate all Employee out-of-pocket cost through maximizing the availability of services from member

- institutions and affiliated, participating providers (including but not limited to physicians, dentists and mental health providers);
- (v) Permit Employees and their eligible dependents to exercise choice of providers;
  - (vi) Seek ways through management of quality, utilization and price to restrain the growth in cost while maintaining the scope and improving the quality of services.
- (b) To achieve these objectives the Union and the League direct the National Benefit Fund Trustees to develop a comprehensive health care service network organized around a core of accessible, high quality primary care providers in accordance with the substantive provisions contained in the agreement between the League and 1199 dated June 28, 1994.
- (c) Effective October 1, 2014, the contribution rate to the National Benefit Fund shall be 28.75%, and each twelve (12) months thereafter, the rate shall be adjusted, as determined by the Fund actuary, to the level required to maintain all existing benefits including those improved in this Agreement and a minimum one (1) month surplus (defined as a surplus equal to one (1) month's contributions) through the expiration of the contract.
- (d) The League and its member institutions agree:
- (i) To expand the NBF's preferred provider program the League will make maximum effort to encourage its member institutions to recruit affiliated physicians, mental health providers, dentists and other providers to accept NBF reimbursement as payment in full for medical, dental and all ancillary services.
  - (ii) To designate appropriate top management with authority to implement this program with the NBF.
  - (iii) To sponsor and conduct at the work place, with the NBF, health promotion-disease prevention programs which may include hypertension testing and treatment, breast cancer screening, nutrition, smoking cessation and other wellness programs.
- (e) The medical reimbursement schedule will be increased to the 2000 Medicare Schedule effective December 1, 2002 and increased to the 2002 Medicare Schedule effective December 1, 2004.



## ARTICLE 23

### PENSION

1. Effective **October 1, 2021**, the University shall contribute each month to the 1199 Health Care Employees Pension Fund (“PF” or “Fund”) in an amount equal to **11.3%** of gross payroll of the Employees for the preceding month exclusive of amounts earned by the Employees for the first two (2) months following the beginning of their employment. For the life of the Agreement, the Employer shall continue to contribute to the 1199 Health Care Employees Pension Fund at the Required Contribution Rates (RCR) set by the Funds’ Trustees.

Such payments shall be used by the Trustees of the Pension Fund for the purpose of providing Pension or Retirement benefits for the Employees as the Trustees of the said Fund may from time to time determine.

2. Such Fund at all times shall take whatever action is necessary to secure and retain approval of the U.S. Internal Revenue Service as a qualified pension fund.
3. The Employer’s obligation with respect to contributions to existing pension plans and the Pension Fund established hereunder shall not exceed the greater of the contribution required hereunder or the present cost of such existing plans to the Employer per Employee. The parties shall meet to study existing pension plans for the purpose of protecting Employee rights thereunder and providing for an orderly transfer of Employees into the Pension Fund hereunder. Any disagreement regarding implementation of these provisions shall be subject to arbitration hereunder.
4. The Employer shall not withdraw from the Social Security Program.

## ARTICLE 24

### ENFORCEMENT ARTICLE

1. All Funds to which the Employer presently contributes or to which the Employer may contribute in the future, will be subject to the provisions of the Enforcement Article.
2. Alan Viani is hereby designated as an Impartial Arbitrator to hear and determine any disputes which may arise between the parties with regard to payment of contributions and/or interest and the enforcement thereof. Such arbitration shall be heard no later than ten (10) days after written request submitted to the Arbitrator. The award of the Arbitrator shall be issued within five (5) days thereafter.
3. In the event of a vacancy in this position for whatever cause, the Parties accept the Impartial Arbitrator designated by the League and 1199 to fill the Vacancy and any subsequent vacancy in the position of Impartial Arbitrator as may from time to time arise,

on the terms and conditions provided in any and all agreements between the League and 1199 concerning the designation of an Impartial Arbitrator pursuant to Article XXV, 14 of the League Agreement, and any amendments thereof.

**ARTICLE 25**

**TUITION EXEMPTION**

1. The Employer shall provide exemption from tuition as follows:

Full-time Employees:

7 credits per  
semester (maximum of  
2 courses)

Part-time Employees working 20 hours or more per week:

Less than 1 year of  
employment                      3 credits per  
semester (maximum of 1 course)

More than 1 year of  
employment                      4 credits per  
semester (maximum of 1 course)

In the case of the summer semester, the Employer will retain the right to refuse to offer courses under this tuition exemption plan where such courses are deemed inappropriate or inefficient by the Employer.

2. **Tuition Exemption Limits**

The spouse and children of any full-time bargaining unit Employee shall be entitled to utilize any unused portion of credit to which the member is entitled under Article 25, Section I of the collective bargaining agreement, except that there shall be no accrual of any unused portion of credit.

3. **Eligible Courses**

The spouse and children of a bargaining unit Employee shall be entitled to apply the member's unused portion of credit to any course, graduate or undergraduate, subject to the following modifications:

- (a) That the course be given by a department or school of the Columbia Corporation. Courses given by Teachers College or Barnard will not be tuition exempt for children or spouses.

- (b) That, in the case of children, they must be enrolled as candidates for a Bachelor, Professional or higher degree. In cases where the son or daughter is registered as a degree candidate outside the University system but is able to obtain admission as a non-matriculated special student at Columbia, tuition exemption will be granted.
- (c) That enrollment in summer courses is subject to the existing language in Article 25, Section 1 of the Agreement.
- (d) If an Employee who is enrolled in a course and is otherwise eligible for tuition exemption is laid off, such employee will be exempt from payment of tuition for the balance of the semester.
- (e) If an Employee is hired and begins a course after the beginning of the semester such employee's eligibility for tuition exemption shall be prorated in accordance with guidelines established by the University at the beginning of the semester.

4. **Age Limits**

There shall be no limitation to the utilization of tuition exemption, as outlined in this Agreement, because of the age of a member's spouse or children.

5. **Eligibility**

That, as of January 20, 1975, the spouse and children of eligible full-time bargaining unit Employees shall be entitled to utilization of any Employee's unused portion of credit as outlined in this Agreement. For all new hires past that date, the Employee's spouse shall be immediately eligible for full utilization as outlined in this Agreement, but the Employee's children shall not be eligible until the Employee has bargaining unit seniority for a minimum of two (2) years at Columbia University.

For Employees hired after June 1, 2015, there will be a two semester waiting period (administered as 7 months) for "self" and spouse. This waiting period represents two-semester of continuous service.

6. **Tax Withholdings for Tuition Exemption**

The University will continue efforts to repeal legislation requiring withholding for tuition exemption.

**ARTICLE 26**

**CHILD CARE**

Effective April 1, 2002:

- (a) Effective April 1, 2003, the University shall contribute to the 1199-Employer Child Care Fund at a rate of one-half percent (.5%) of gross payroll of the Employees for the preceding month exclusive of amounts earned by the Employees during the first two (2) months following the beginning of their employment, to provide child care and youth programs for 1199 members' children.
- (b) Effective January 1, 2003, the Child Care Fund shall be commingled under terms approved by the Trustees.

**ARTICLE 27**

**MANAGEMENT RIGHTS**

- 1. Except as in this Agreement otherwise provided, the Employer retains the exclusive right to hire, direct and schedule the working force; to plan, direct and to control operations; to discontinue, reorganize or combine any Department or Branch of operations with any consequent reduction or other changes in the working force and in all respects to carry out, in addition, the ordinary and customary functions of management.

None of these rights shall be exercised in a capricious or arbitrary manner.

- 2. The Union, on behalf of the Employees, agrees to cooperate with the Employer to attain and maintain full efficiency and the Employer agrees to receive and consider constructive suggestions submitted by the Union toward these objectives.

**ARTICLE 28**

**RESIGNATION**

- 1. An Employee who resigns shall give the Employer two (2) weeks advance notice.
- 2. An Employee who gives notice of resignation, as provided above, or whose employment is terminated shall be entitled to receive payment for unused vacation time accrued on the effective date of the resignation or termination. If notice is not given as provided above, an Employee shall not be entitled to such payment, provided it was possible for the

employee to have given such notice.

## **ARTICLE 29**

### **DISCHARGE AND PENALTIES**

1. The Employer shall have the right to discharge, suspend or discipline any Employee for cause.
2. A discharge or suspension will not be put into effect until the second step of the grievance machinery has been conducted, except in cases of drunkenness, assault, drug abuse, theft or other serious conduct of like nature.
3. The above notwithstanding, the Employer will notify the Union in writing of any discharge or suspension within twenty-four (24) hours from the time of discharge or suspension. If the Union desires to contest the discharge or suspension, it shall give written notice thereof to the Employer within five (5) working days, but no later than ten (10) working days from the date of receipt of notice of discharge or suspension. In such event, the dispute shall be submitted and determined under the grievance and arbitration procedure hereinafter set forth, however commencing at Step 3 of the grievance machinery.
4. The parties jointly agree to seek expedited arbitration for discharge cases.
5. Warning letters will be removed from personnel files after one (1) year provided that disciplinary action for a similar or related offense has not occurred.

## **ARTICLE 30**

### **NO STRIKE OR LOCKOUT**

1. No Employee shall engage in any strike, sit-down, sit-in, slowdown, cessation or stoppage or interruption of work, boycott, or other interference with the operations of the University.
2. The Union, its officers, agents, representatives and members shall not in any way, directly or indirectly, authorize, assist, encourage, participate in or sanction any strike, sit-down, sit-in, slowdown, cessation or stoppage or interruption of work, boycott, or other interference with the operations of the Employer, or ratify, condone or lend support to any such conduct or action.
3. In addition to any other liability, remedy or right provided by applicable law or statute, should a strike, sit-down, sit-in, slowdown, cessation or stoppage or interruption of work

occur, the Union, within twenty four (24) hours of a request by the University shall:

- (a) Publicly disavow such action by the Employees.
  - (b) Advise the Employer in writing that such action by Employees has not been called or sanctioned by the Union.
  - (c) Notify Employees of its disapproval of such action and instruct such Employees to cease such action and return to work immediately.
  - (d) Post notices on Union Bulletin Boards advising that it disapproves such action, and instruct Employees to return to work immediately.
4. The Employer agrees that it will not lock out Employees during the term of this Agreement.

### **ARTICLE 31**

#### **GRIEVANCE PROCEDURE**

1. A grievance shall be defined as a dispute or complaint arising between the parties hereunder or out of this Agreement or the interpretation, application, performance, termination, or any alleged breach thereof, and shall be processed and disposed of in the following manner:

##### **Step 1.**

Within a reasonable time (except as provided in Article 29), an Employee having a grievance and/or his/her Union Delegate or other representative shall take it up with his/her immediate supervisor. The Employer shall give its answer to the Employee and/or his/her Union Delegate or other representative within five (5) working days after the presentation of the grievance.

##### **Step 2.**

If the grievance is not settled in Step 1, the grievance may, within five (5) working days after the answer in Step 1, be presented to Step 2. When grievances are presented in Step 2, they shall be reduced to writing indicating the facts and nature of the grievance; signed by the grievant and his/her Union representative, and presented to the grievant's department head or his/her designee. A grievance so presented in Step 2 shall be answered by the Department Head in writing within five (5) working days after its presentation.

Step 3.

If the grievance is not settled in Step 2, the grievance may, within five (5) working days after the answer in Step 2, be presented in Step 3. A grievance shall be presented in this Step to the Director of Employee and Labor Relations or his/her representative and the Director of Employee and Labor Relations or his/her representative shall render a decision in writing within **ten (10)** working days after the presentation of the grievance in this step.

2. (a) Failure on the part of the Employer to answer a grievance at any step shall not be deemed acquiescence thereto, and the Union may proceed to the next step.
- (b) Anything to the contrary herein notwithstanding, a grievance concerning a discharge or suspension may be presented initially at Step 3 in the first instance, within the time limit specified in Article 29, Section 3.
- (c) Without waiving its statutory rights, a grievance on behalf of the Employer may be presented initially in Step 3 by notice in writing addressed to the Union at its offices.
- (d) All the time limits herein specified shall be deemed to be exclusive of Saturdays, Sundays and holidays.
3. Any disposition of a grievance from which no appeal is taken within the time limits specified herein shall be deemed resolved and shall not thereafter be considered subject to the grievance and arbitration provisions of this Agreement.
4. A grievance which affects a substantial number of Employees, and which the Employer representative designated in Steps 1 and 2 lacks authority to settle, may initially be presented at Step 3 by the Union representative.

**ARTICLE 32**

**ARBITRATION**

1. A grievance, as defined in Article 31, which has not been resolved thereunder may, within forty-five (45) working days after completion of Step 3 of the grievance procedure, be referred for arbitration by the Employer or the Union to an arbitrator selected in accordance with the procedures of the American Arbitration Association. An additional seven (7) days may be added to the forty-five (45) days if needed by the Union for its Hearings and Appeal Unit. The arbitration shall be conducted under the Voluntary Labor Arbitration Rules then prevailing of the American Arbitration Association.
2. The fees and expenses of the American Arbitration Association and the arbitrator shall be borne equally by the parties.

3. The award of the arbitrator hereunder shall be final, conclusive and binding upon the Employer, the Union and the Employees.
4. The Arbitrator shall have jurisdiction only over disputes arising out of grievances, as defined in Section 1 of Article 31, and he/she shall have no power to add to, subtract from, or modify in any way any of the terms of this Agreement.

### **ARTICLE 33**

#### **EFFECT OF LEGISLATION SEPARABILITY**

It is understood and agreed that all agreements herein are subject to all applicable laws now or hereafter in effect; and to the lawful regulations, rulings and orders of regulatory commissions or agencies having jurisdiction. If any provision of this Agreement is in contravention of the laws or regulations of the United States or of the State of New York, such provision shall be superseded by the appropriate provision of such law or regulation so long as same is in force and effect; but all other provisions of this Agreement shall continue in full force and effect.

### **ARTICLE 34**

#### **MISCELLANEOUS**

1. An Employee who is on vacation on a scheduled pay day shall receive his/her regular paycheck for that pay period in advance provided that proper forms are completed and submitted to the Controller's Office as required.
2. New Employees shall be allowed one hour to be given information on 1199/SEIU.
3. The University shall pay fifty percent (50%) of the printing costs of the Collective Bargaining Agreement. It is agreed that the Cafeteria Contract and the Clerical Contract will be bound together when they are updated and printed.
4. (a) All employees who are required to wear a uniform will be provided with such (shirts, slacks and outerwear) which will be maintained by the University.  
  
(b) Employees in the Central Mail Room may be required to wear uniforms, to be maintained by the University. Employees will be provided with seven pairs of pants, seven winter shirts, and seven summer shirts. During October 2006, employees in the Central Mail Room will be provided one hat and one pair of gloves for the duration of the contract.



- (c) The provision of jackets with Columbia University identification for clerical messengers in the Controller's Office, The Libraries and CUIT who travel outside to deliver mail and jackets for Technical Infrastructure Operators (CUIT) will be discussed in the labor/management committee referenced in Article 36.
5. Employees who are required to operate a motor vehicle must promptly notify their supervisors if their driver's license is suspended, restricted or revoked.

## **ARTICLE 35**

### **HEALTH AND SAFETY COMMITTEE**

1. The University and the Union shall continue to cooperate in encouraging the maintenance of a safe and healthy work place.
2. The Union may designate two (2) bargaining unit Employees (one from each unit) to serve as representatives on the Health and Safety Committee provided for in Article 29 of the current Collective Bargaining Agreement between the University and Local 2110 UAW, AFL-CIO. Such Employees shall serve under the same conditions as specified in such Article 29 of the Local 2110 agreement and the function of the Committee will be as specified in said Article. This provision is contingent upon agreement by Local 2110.
3. The Health and Safety Committee will continue to cooperate to investigate, identify and remove conditions which are hazardous to an Employee's safety and health. Said Committee will meet as necessary. In case of emergency, the Health and Safety Committee shall be convened within twenty-four (24) hours. The existence of the Health and Safety Committee shall not preclude grievances from being filed.
4. 1199/SEIU and Local 2110 collectively shall have an equal number of votes as the University regardless of the number of representatives attending.
5. The University will continue to comply with all local, state and federal laws pertaining to health and safety conditions applicable to the University.
  - (a) The Health and Safety Committee shall consider current ongoing research on the effects of VDT's and similar devices to see how it may be applied to improve on site conditions.
  - (b) In designing new VDT facilities, architects and other designers will take into account the best available information on safety and "ergonomic" design to prevent eyestrain and muscle skeletal problems and will bear in mind possible hazards arising from exposure to low frequency magnetic fields.
  - (c) VDT's will be equipped with glare screens.

- (d) If a temporary transfer or job switch cannot be arranged, pregnant women who choose not to work at a VDT may take an unpaid leave of absence.
- 6. The University shall provide, on work time, safety and health training which is either required by law or agreed to between the parties. All training issues will be referred to the Health and Safety Committee.
- 7. In the event that an Employee shall be exposed to any communicable disease, the University agrees to promptly review proper procedures to be followed by Employees exposed to such communicable diseases.
- 8. The University shall give the Union advance notification of construction work in bargaining unit areas. After 4/1/96, Material Data Safety Sheets (MSDS) will be made available to the Health and Safety Committee immediately upon request.
- 9. Information regarding Workers' Compensation and claim procedures will immediately be made available to employees upon request.
- 10. The Union may request a meeting, twice per year, or as needed, to discuss questions/concerns about current renovation projects in bargaining unit areas. Such request will not be unreasonably denied.
- 11. Any and all grievances arising from this clause may be filed at Step 3.

## **ARTICLE 36**

### **LABOR-MANAGEMENT COMMITTEE**

- 1. A Labor-Management committee will be established. Either party may request that a meeting be held between both parties to discuss any issues of mutual concern, including but not limited to:
  - (a) Issues involving contract interpretation questions.
  - (b) Issues related to the filling of vacant positions in the bargaining unit, for which the Employer is actively recruiting and for which no Employee at the institution has exercised rights under the Seniority provisions.
  - (c) Issues of training, i.e. skills training, cross training.
  - (d) Ideas to promote quality of work life and improved working conditions.
  - (e) Issues related to organizational change resulting in the restructuring of multiple positions.

2. Such meetings shall be held within a reasonable time after the request. The creation of the Committee and its consideration of issues will not limit the right of the parties to resolve disputes pursuant to the grievance and arbitration provisions of the contract.
3. The Labor-Management Committee shall establish a mutually agreed-upon agenda and calendar of meetings to take place during the six-month period following the conclusion of negotiations.

### **ARTICLE 37**

#### **TECHNOLOGICAL CHANGE**

- A. (1) The University agrees to notify the Union reasonably in advance of the schedule introduction of automation that may reasonably be expected to result in (a) reduction or displacement of bargaining unit employees; (b) substantial changes in an employee's job; or (c) substantial changes in the nature of the work in a particular job classification. Notification will include the following information:
  - (a) Nature of the change.
  - (b) Departments involved and job titles of affected employees.
  - (c) Anticipated date of the change.
  - (d) A summary description of the location of the automation and the effects on personnel to the extent known.
- (2) In the event that automation is introduced into an office and its introduction may reasonably be expected to result in (a) reduction or displacement of employees; (b) substantial changes in an employee's job; or (c) substantial changes in the nature of the work in a particular job classification, the University shall meet with the Union to explore ways in which employees may be retained to fit the demands of changing technologies in their work environment. The University shall not unreasonably deny any employee covered under this agreement the opportunity for advancement as a result of new technologies once the employee has satisfied the requirements for the new job by training in competencies with the new technologies. The University will provide training to active employees and will meet with the Union to discuss the extent of such training if any is necessary.
- (3) The University will not be unreasonable in providing available information in response to Union requests for information on Technological Changes. In the event of layoff due to automation, the layoff provisions of this Agreement will apply except that four weeks notice of layoff, or pay in lieu thereof, will be given.

- B. In the event the University requires an employee to undergo training in his/her present position, such training shall be provided by the University during work time.

**ARTICLE 38**

**RETIREES PRIVILEGES**

1. Retirees will have reading privileges in the Libraries, and shall have the same borrowing privileges from the Library as active staff.
2. Retirees will have access to the Gym on the same terms as active staff.

**ARTICLE 39**

**EFFECTIVE DATES AND DURATION**

1. This Agreement shall be in full force and effect for the period commencing **June 1, 2022** and ending **May 31, 2025**.
2. The Employer and the Union agree to jointly enter into discussion relative to a renewal of this Agreement no later than the sixtieth (60th) day immediately preceding the termination date of this Agreement.

IN WITNESSETH WHEREOF, the Union and the University have signed this Agreement this **24<sup>th</sup> day of August 2022**.

1199SEIU UNITED HEALTHCARE  
WORKERS EAST

THE TRUSTEES OF  
COLUMBIA UNIVERSITY  
IN THE CITY OF NEW YORK

By: \_\_\_\_\_  
Jacqueline Alleyne  
Executive Vice-President

By: \_\_\_\_\_  
Idina Gorman  
Executive Director  
Labor & Employee Relations

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**EXHIBIT A**

**CHECKOFF AUTHORIZATION**

DATE:

TO:

You are hereby authorized and directed to deduct an initiation fee from my wages or salary as required by 1199SEIU United Healthcare Workers East, as a condition of my membership and in addition thereto, to deduct each month my monthly membership dues from my wages or salary; and to remit all such deductions so made to 1199SEIU United Healthcare Workers East, 310 West 43rd Street, New York, New York 10036, no later than the tenth day of each month immediately following the date of deduction or following the date provided in the Collective Bargaining Agreement for such deductions. This authorization is a voluntary act on my part and shall be irrevocable for a period of one (1) year or until the termination date of the Collective Bargaining Agreement, which ever is sooner, and shall, however, renew itself from year to year unless the employee gives written notice addressed to the 1199 Finance Department at 310 West 43rd Street, New York, New York 10036, at least fifteen (15) days prior to any termination date of the revocation of this authorization.

SOC. SEC. NO. \_\_\_\_\_

\_\_\_\_\_  
Signature

DEPT. \_\_\_\_\_

\_\_\_\_\_  
Address

**EXHIBIT B**

**1199 SEIU FEDERAL CREDIT UNION  
CHECKOFF AUTHORIZATION**

1199 SEIU Federal Credit Union  
CHECKOFF AUTHORIZATION

Effective Date \_\_\_\_\_

TO: \_\_\_\_\_

You are hereby authorized and directed to deduct from my wages or salary the sum of \$ \_\_\_\_\_ each pay period and to remit such deductions to the 1199 SEIU Federal Credit Union, no later than the 10th day of each month following the month in which the deductions are made. This authorization may be revoked by a 30 day written notice sent to 1199 SEIU Federal Credit Union, unless this authorization is executed as security for or as a manner or method of the repayment of a loan from the 1199 SEIU Federal Credit Union doing business in New York and in such latter event the same shall be in full force and effect until the loan from the 1199 SEIU Federal Credit Union has been paid in full.

Print Name \_\_\_\_\_

Signature \_\_\_\_\_

Home

Address \_\_\_\_\_  
Number      Street      City/Town      State      Zip

Employed At: \_\_\_\_\_

Address \_\_\_\_\_

Social Security Number \_\_\_\_\_





**EXHIBIT D**

<b>Clerical</b>			<b>Effective 6/6/2022</b>		<b>Effective 6/5/2023</b>		<b>Effective 6/3/2024</b>	
<b>Labor Grade</b>	<b>Job Title</b>		<b>3.00%</b>		<b>3.00%</b>		<b>3.00%</b>	
<b>I</b>	Eliminated as of July 1, 2002		Entry Rate	Min Rate	Entry Rate	Min Rate	Entry Rate	Min Rate
	Clerk CO (TRAINEE)	CO	\$749.62	\$772.80	\$772.11	\$795.98	\$795.27	\$819.86
	Duplicating Machine Op	SSW	\$757.34		\$780.06		\$803.46	
	File Clerk	SSW,CO	\$753.48		\$776.08		\$799.36	
	Mail Clerk	SSW,CMR						
	Messenger	CUIT						
<b>II</b>	Receptionist	CO, CUIT						
	Asst. Clerk	CUIT						
	Clerk Typist	CO, SSW						
	Receptionist–Typ	CO, SSW						
	Clerical Asst.	CUIT						
	Mail Clerk	CUIT						
<b>III</b>	Clerical Asst	SSW, <b>CO</b>	\$761.84	\$785.40	\$784.69	\$808.96	\$808.23	\$833.23
	Jr. Acctg Clk	CO	\$769.69		\$792.78		\$816.56	
	Jr Secretary	SSW	\$765.77		\$788.74		\$812.40	
	File Clerk	CO						
<b>IV</b>	Dispatcher	CUIT	\$785.60	\$809.90	\$809.17	\$834.20	\$833.45	\$859.23
	Accounting Clk	CO	\$793.70		\$817.51		\$842.04	
	Encumbrance		\$789.65		\$813.34		\$837.74	
	Processing Clk	CO						
	Clerical Asst	CO						
<b>V</b>	Mail Clerk	PS	\$816.84	\$842.10	\$841.34	\$867.36	\$866.58	\$893.38
	Field Secy	SSW	\$825.26		\$850.02		\$875.52	

	Office Asst	SSW	\$821.05		\$845.68		\$871.05	
	Driver/Mail Clk							
	Sr Acctg Clerk	CO						
	Sr Clerical Asst	CO						
	Bkkeeping-Clk Typist	SSW						
	Information Op	SSW						
	Sr. Clerk	SSW, CO						
	Statistical Clk.	SSW						
	Work Proc Op	SSW						
	Secretary	CUIT						
	Processing Clk	CO						
<b>VI</b>	Faculty Assistant	SSW	\$855.88	\$882.35	\$881.56	\$908.82	\$908.01	\$936.08
	Computer Output Control Clerk	CO	\$864.70		\$890.64		\$917.36	
	Jr. Accountant	CO, SSW, PS	\$860.29		\$886.10		\$912.68	
	Jr. Statistician	SSW						
	Sr. Payroll Clk	CO						
	Production Control Clerk	CUIT, PS						
	Sr Secretary	SSW						
	Research Clerk	CO						
	Sr Word Proc.Op	SSW						
Tape Librarian	CUIT							
<b>VII</b>	Asst. to the Finan Aid Off	SSW	\$886.43	\$913.85	\$913.02	\$941.27	\$940.41	\$969.50
	Duplication Coordinator	SSW	\$895.57		\$922.44		\$950.11	
	File Room Coord	SSW, CO	\$891.00		\$917.73		\$945.26	
	Task Force Leader	CO, CMR						
	Control Clerk	CO						
	Restricted Funds	CO						
	Accountant	CO						

	Restricted Funds Sr. Clerk	CO						
<b>VIII</b>	Mailroom Coord	SSW	\$934.30	\$963.20	\$962.33	\$992.10	\$899.67	\$1,021.86
	Fin. Records Aide	SSW	\$943.94		\$972.30		\$991.20	
	Computer Coord	SSW	\$939.12		\$967.30		\$996.32	
	Accounts Payable Specialist	PS						
	Sponsored Projects Finance Spcl'st	CO						
	General Accounting Specialist	CO						
<b>VIII(A)</b>	Team Leader	PS						
	Service Representative							
	<b>Labor Grade</b>	<b>Job Title</b>						
	<b>LIBRARIES</b>							
<b>I</b>	Eliminated as of July 1, 2002							
<b>II</b>	Clerical Asst. II		\$749.62	\$772.80	\$772.11	\$795.98	\$795.27	\$819.86
	Technical Asst. II		\$757.34		\$780.06		\$803.46	
			\$753.48		\$776.08		\$799.36	
<b>III</b>	Clerical Asst III		\$761.84	\$785.40	\$784.69	\$808.96	\$808.23	\$833.23
	Technical Asst. III		\$769.69		\$792.78		\$816.56	
			\$765.77		\$788.74		\$812.40	
<b>IV</b>	Bibliographic Asst. IV		\$797.83	\$822.50	\$821.76	\$847.18	\$846.41	\$872.60
	Library Asst. IV		\$806.05		\$840.23		\$865.44	
	Technical Asst. IV		\$801.94		\$826.00		\$850.78	
<b>V</b>	Bibliographic Asst. V		\$836.19	\$862.05	\$861.28	\$887.91	\$887.12	\$914.55
	Library Asst. V		\$844.81		\$870.15		\$896.25	

	Technical Asst V	\$840.50		\$865.72		\$891.69	
<b>VI</b>	Bibliographic Asst. VI	\$886.43	\$913.85	\$913.02	\$941.27	\$940.41	\$969.51
	Library Asst. VI	\$895.57		\$922.44		\$950.11	
		\$891.00		\$917.73		\$945.26	
<b>VII</b>	Bibliographic Asst. VII	\$934.30	\$963.20	\$962.33	\$992.10	\$991.20	\$1021.86
		\$943.94		\$972.26		\$1,001.43	
		\$939.12		\$967.29		\$996.31	

Employees hired on and after October 1, 2013, shall receive, during the first year of employment, a base weekly rate which is two and one-half percent (2.5%) less than the minimum weekly rate for his/her job classification.

In case of promotion, an Employee will receive an increase to the minimum salary of the new classification, or an increase equal to the difference between the minimum of the old grade and that of the new, whichever is higher. The maximum promotion increase in the latter case will be twenty dollars (\$20.00) per week.

## **EXHIBIT E**

### **BENEFIT FUND IMPROVEMENTS**

#### **1. Mandatory Mail Order for Maintenance Prescription Drugs**

This program requires active & retired members who use certain prescription drugs on a regular & long term basis to have those prescriptions filled, by mail, through the NBF pharmacy benefit manager, Advance PCS, Inc. This program will relieve some members of the need to constantly reorder the drug and to travel unnecessarily. It will help the NBF save resources by purchasing in bulk.

#### **2. Full Formulary Program**

The mandatory full formulary program will be administered through the NBF by its Pharmacy Benefit Manager Advance PCS, Inc. Among the programs are the following:

- i) Preferred Class. This program works like the NBF's mandatory generic program. If a member chooses a preferred drug, they will have no out-of-pocket expense. If they choose a non-preferred drug, they must pay the difference in cost.
- ii) Class Closure. The Cox 2 class of drugs includes two drugs: Vioxx and Celebrex which most doctors consider equally effective. The NBF will cover only Vioxx. Again, if a member fills a prescription with Celebrex, the pharmacy will contact the doctor to change the prescription to Vioxx. If the member chooses Celebrex, the member is responsible for the full cost of the prescription.
- iii) Class Elimination. The NBF is no longer providing drug benefits in the non-sedating anti-histamine class. This includes Claritin, Allegra, and Zyrtec. Members have available effective over-the-counter drugs, e.g. chlortrimetron.
- iv) Drug Elimination. Migraine medications which are in excess of FDA guidelines for strength, quantity and duration are eliminated.

In the above programs, the first concern of the NBF is the members' health and welfare. The three programs have been carefully designed to maximize this result. The intervention of a doctor may allow very limited exceptions.

#### **3. Mandatory Medicare Risk HMO**

A mandatory Medicare risk HMO for retirees (currently those in the Health First coverage area of Greater New York). The program will provide full hospital medical, drug, eyeglasses and a limited dental benefit. The effective date is April 1, 2002. The program will allow for hardship exemption. It is estimated that in the first year, twenty five percent of the retirees in the coverage area will remain with their current coverage.

The League and the Union will use their best efforts to add additional networks.

It is the intention of 1199 and the LVHH to maintain and improve the NBF's programs. These and other adjustments are needed to preserve the resources of the NBF to provide its comprehensive health coverage in the face of rising health care costs. The estimated savings from these three and other initiatives are approximately \$20 million in fiscal year 2003; \$24 million in fiscal year 2004; and \$28 million in fiscal year 2005.

**EXHIBIT F**

CASUAL AND TEMPORARY ADJUSTMENTS

Notwithstanding the reason why a temporary or casual employee was hired, in the event that a temporary or casual employee as defined in Article 1 exceeds four months (measured as 560 hours in a rolling year) or the length of the leave being covered,<sup>1</sup> the following guidelines will be used to determine the person’s status and entitlements:

<i>Retroactive to initial date of hire or assignment:</i>		CASUAL TEMPORARY EMPLOYEE	AGENCY TEMPORARY EMPLOYEE
IF THE DEPARTMENT DECIDES TO MAKE THE POSITION A REGULAR POSITION:	post position, apply, be interviewed, meet minimum qualifications, be selected	<u>YES</u>	<u>YES</u>
If hired into the same position on the Columbia payroll:			
Salary		Retroactive adjustment if below minimum of position	No retroactive adjustment
Health benefits, pension, tuition exemption		Credit time but no retroactive benefits	
Vacation, sick leave, personal days, holidays		Retroactive adjustment	Retroactive adjustment unless agency provided the benefit (no adjustment if agency provided <u>less</u> than Columbia provides; adjustment only if agency did not provide <u>any</u> level of benefit.)

5<sup>th</sup> month through 12<sup>th</sup> month \$200 per month  
 13<sup>th</sup> month through 18<sup>th</sup> month \$300 per month

The maximum payment will be \$3400. Use and distribution for the penalty money shall be determined by the labor-management committee referenced in Article XXXVI.

<sup>1</sup> Excluding employees hired to replace an employee on leave of absence or vacation within the meaning of Article I, Section 1(c), for the duration of such leave or vacation.

**Side Letter**

**KIOSKS**

Hard copies of all 1199 job vacancies will be distributed to Dining, Catering and the Central Mail Room on a weekly basis for a six month period pending completion of training on the University's on-line job posting and employment application system for employees in these units.

The departments covered under the clerical contract (Libraries, CUIT Computer Center (now CUIT, Columbia University Information Technology Central Mail Room, School of Social Work, Controller's Office at 1700 Broadway and 330 Fifth Avenue), and the Cafeteria contract (Dining and Catering), will post job vacancies for their department in a central location once a week (*Employees will be advised of the locations*).

The Employment Center located at 3180 Broadway (at 125<sup>th</sup> Street) is available as a resource to employees to explore job opportunities and complete the on-line process for jobs of interest. The Center's staff will guide employees through the on-line application process, as needed.

A listing of Columbianet Kiosk Stations, currently available for those who do not have computer access, is listed below (This list may be updated from time to time).

Columbianet Kiosk Stations

Alfred Lerner Hall	100-East 200-West 500-Level
Avery	100-Level 400-Level
Butler Library	Entrance Lobby 206-Reading Room 209-Reading Room 214-Coffee Lounge 300-Level Circulation Desk 301-Reading Room 303-Reading Room 403-Reading Room 406-Reading Room 504-Reading Room 654-Library
Chandler	454-Chemistry Library



Dodge Gym	Entrance Lobby
Dodge Hall	1 <sup>st</sup> Floor Lobby
Earl Hall	Lobby
Fairchild	601-Biological Sciences Library
Interchurch	Human Resources
International & Public Affairs	300-Level Lehman Library 400-Level I.S.S.O.
Kent Hall	2 <sup>nd</sup> Floor Lobby Registrar's Office
Kraft Center	300-Level Lounge
Lewisohn	300-Level Public Lounge
Low Library	1 <sup>st</sup> Floor Visitor's Center
Mathematics	3 <sup>rd</sup> Floor Library
McVickar	Atrium
Mudd Engineering	4 <sup>th</sup> Floor Lobby Engineering Library
Philosophy	100-Lobby/Basement
Pupin Hall	810-Physics Library
Schermerhorn	409-Psychology Library 500-Level Hall 601-Geology Library
Watson Hall	1 <sup>st</sup> Floor Lobby

**Juneteenth Side Letter**

August 24, 2022

Jacqueline Alleyne, Executive Vice President  
1199SEIU United Healthcare Workers East  
498 Seventh Avenue 22<sup>nd</sup> floor  
New York, New York 10018

**Re: Letter Agreement on Juneteenth**

Dear Ms. Alleyne:

This sets forth our agreement regarding celebration of the Juneteenth holiday.

As discussed during the negotiations, the University has recognized Juneteenth as a day of observance in 2020, 2021, and 2022, and intends to continue observing Juneteenth going forward and will continue to provide the benefit to the 1199 represented employees. When the University adds Juneteenth to the official University calendar, it will simultaneously be added as an additional holiday in the collective bargaining agreement. If in the future during the term of the contract the day of observance is not offered, then employees will receive an additional personal day to use for the day of observance.

Sincerely,

Idina Gorman  
Executive Director, Labor & Employee Relations  
Columbia University

Agreed and accepted:

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Jacqueline Alleyne  
Executive Vice President  
1199SEIU United Healthcare Workers East

May 21, 2002, as Amended May 7, 2015

Ms. Betty Hughley  
Executive Vice President  
1199 SEIU, New York's Health and Human Services  
Employees Union  
310 West 43<sup>rd</sup> Street  
New York, NY 10036

Re: Implementation of sick leave incentive plan

Dear Betty,

This will confirm our agreement that the following represent examples of how the new sick leave implementation plan will be implemented:

Current employees have sick leave based on their date of hire and usage during their current leave year. On their next anniversary date, they will receive additional sick leave, again based on their date of hire. In order to qualify for the incentive payments, employees must have a current balance plus the new year's accrual equal to **30** days as of the first day of the leave year. Then, any employee who begins the leave year with **30** days and uses less than a total of **four (4)** days of combined sick leave, workers compensation and/or disability in the year commencing on the anniversary date will qualify for a payment.

- Example 1: an employee hired on **July 1, 1977** has **5** days left in his sick leave "bank". On **July 1, 2014**, he will have an additional **20** days credited to his bank, for a total of **25** days. On July 1, **2015**, he will have up to another **20** days (depending on sick leave use, if any, to a maximum of 60 days) credited. Assuming that the total on July 1, **2015** equals **at least 30 days**, he will be eligible for the incentive beginning in the **2015-16** leave year.
- Example 2: an employee hired on April 5, 1992 had **30** days in her sick leave bank on **April 5, 2015**, when her new leave year was credited. She is immediately eligible for the incentive in the **2015-16** leave year.

New employees will continue to accrue 12 days per year minus any sick leave used, until they have **30** days accrued as of the start of the leave year. Then, any employee who begins the leave year with **30** days and uses less than a total of **four (4)** days of combined sick leave, workers compensation and/or disability in the year commencing on the anniversary date will qualify for a payment.

Employees may receive incentive payments in every leave year during which they meet the requirements set forth above.

Sincerely,

David M. Cohen  
Assistant Vice President – Employee and Labor Relations